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DATE: December 22, 2003

Inventor: Koichiro Kishima et al

Application No.: 09/731,904

Title: Optical Recording Medium and a Method of Manufacturing the same

Documents Filed:

Appeal Brief Transmittal (in duplicate)

Fee Transmittal (in duplicate)

Appeal Brief (in triplicate)

Appendix A - Pending Claims

CHARGE \$330.00 TO DEPOSIT ACCOUNT 18-0013

Via: PTO DAILY RUN

Sender's Initials: RPK/sjm

Date filed in the USPTO: December 22, 2003

Please Date Stamp And Return To Our Courier

DUE DATE: December 22, 2003

ATTY DOCKET NO.: SON-2029

Inventor: Koichiro Kishima et al

Application No.: 09/731,904

Filing Date: December 8, 2000

Title: Optical Recording Medium and a Method of Manufacturing the same

Documents Filed:

Appeal Brief Transmittal (in duplicate)

Fee Transmittal (in duplicate)

Appeal Brief (in triplicate)

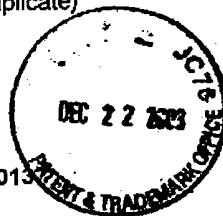
Appendix A - Pending Claims

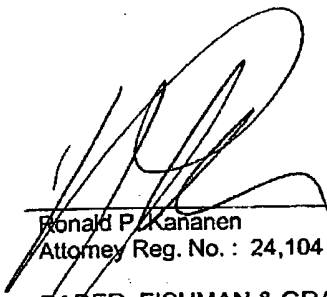
CHARGE \$330.00 TO DEPOSIT ACCOUNT 18-0013

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Sender's Initials: RPK/sjm

Date filed in the USPTO: December 22, 2003

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TRANSMITTAL OF APPEAL BRIEF			Docket No. SON-2029
In re Application of: Koichiro Kishima et al			
Application No. 09/731,904	Filing Date December 8, 2000	Examiner L.D. Ferguson	Group Art Unit 1774
Invention: OPTICAL RECORDING MEDIUM AND A METHOD OF MANUFACTURING THE SAME			
<p style="text-align: center;"><u>TO THE COMMISSIONER OF PATENTS:</u></p> <p>Transmitted herewith in triplicate is the Appeal Brief in this application, with respect to the Notice of Appeal filed: <u>October 21, 2003</u></p> <p>The fee for filing this Appeal Brief is <u>330.00</u></p> <p><input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity</p> <p><input type="checkbox"/> A check in the amount of _____ is enclosed.</p> <p><input checked="" type="checkbox"/> Charge the amount of the fee to Deposit Account No. <u>18-0013</u> This sheet is submitted in duplicate.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees that may be required or credit any overpayment to Deposit Account No. <u>18-0013</u> This sheet is submitted in duplicate.</p> <div style="text-align: right; margin-top: 20px;">Dated: <u>December 22, 2003</u></div> <div style="margin-top: 20px;"> _____ Ronald P. Kananen Attorney Reg. No. : 24,104 RADER, FISHMAN & GRAUER PLLC 1233 20th Street, N.W., Suite 501 Washington, DC 20036 (202) 955-3750 Customer No. 23353</div>			

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PTO/SB/17 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL
for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) **330.00****Complete if Known**

Application Number	09/731,904
Filing Date	December 8, 2000
First Named Inventor	Koichiro Kishima
Examiner Name	L.D. Ferguson
Art Unit	1774
Attorney Docket No.	SON-2029

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number

18-0013

Deposit Account Name

Rader, Fishman & Grauer PLLC

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	180	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) **0.00****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
4	--	x	
1	--	x	
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) **0.00**

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	330.00
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1808	180	1808	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property times number of properties	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **330.00****SUBMITTED BY**

Name (Print/Type) Ronald P. Kanaren

Signature

Registration No. (Attorney/Agent)

24,104

(Complete if applicable)

Telephone (202) 955-3750

Date December 22, 2003

DC133409.DOC